

MATH 239 - S23  
Introduction to Combinatorics  
Full Course Notes

With Prof Logan Crew

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My notes consist of most definitions, and some summaries, methods, and examples. They are not exhaustive.

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[Josiah Plett](#)

## 1 Definitions

- Set**: collection of distinct objects.
- Element**: object within a set.
- Subset**: all items are in parent set.
- Cardinality**: number of elements in the set.
- Union**: all objects in either set.
- Intersection**: all objects in both sets.
- Deletion**: in first, not in second.
- Cartesian Product**: set of ordered pairs, from each.
- Empty Set**: it's unique.  $\emptyset \subseteq$  any set

## Sizes

$$|S \cup T| = |S| + |T| - |S \cap T|$$

$$|S \setminus T| = |S| - |S \cap T|$$

$$|S \times T| = |S| \cdot |T|$$

$$|\text{all subsets of } S| = 2^{|S|} \leftarrow \text{Powerset}$$

$$|\text{k-element subsets of } S| = \binom{|S|}{k}$$

## 2 Bijections, Proofs

**Bijection**: a map  $f: A \rightarrow B$  that is 1. injective, 2. surjective

**Injective**:  $f(a_1) = f(a_2) \rightarrow a_1 = a_2$

**Surjective**:  $\forall b \in B, \exists a \in A \mid f(a) = b$

**Indicator Variable**: value that represents a choice

**Tuple**: Ordered set.



**Proof**

of

**Bijection**

$\hookrightarrow$  "same size" proof

Show is

1 injective & surjective

Define  $f, f^{-1}$

2 and show  $f(f^{-1}(a)) = f^{-1}(f(a)) = a$

**A**: Clearly define your sets; give them names

**B**: Use words in your definitions freely

**C**: Illustrate expected inputs & outputs

Finite sets with a bijection between them have the same size.

## Proof Example

**Q**: show  $|P(T)| = 2^{|T|}$

**A**: Consider the map

$f: P(T) \rightarrow \{0, 1\}^{|T|}$

then  $|\{0, 1\}^{|T|}| = 2^{|T|}$

Let  $T = \{t_1, \dots, t_{|T|}\}$

Given  $S \subseteq T$ ,  
 $f(S) = \begin{cases} \text{ith coord} = 0 & \text{if } t_i \notin S \\ \text{ith coord} = 1 & \text{if } t_i \in S \end{cases}$

Then  $f$  is a bijection...

To show, we define  $f^{-1}$ :

$f^{-1}(s) = \begin{cases} \text{if } t_i = 0, & \text{don't put } S_i \text{ in } S \\ \text{if } t_i = 1, & \text{put } S_i \text{ in } S \end{cases}$

and show  $f^{-1}(f(a)) = a$

and  $f(f^{-1}(a)) = a$

## 3 Combinatorial Proofs, Multisets

**Permutation**: an ordering of some elements.

**List**: ordered set (a specific permutation).



**Combinatorial Proof**

1 Come up with 'sets' and 'just start.'

2 Create the easiest bijection you can.

3 Define bijection. eg:  $f(T) = \begin{cases} T & \text{if } T \in S_{n-1, k} \\ T \cup \{n\} & \text{if } T \in S_{n-1, k-1} \end{cases} \leftarrow f: A \cup B \rightarrow C$

**A**: If you have  $f: A \cup B \rightarrow C$ , show  $f^{-1}: C \rightarrow A$  or  $B$  specifically.

**B**: When making sets on same side, make them disjoint.

**Multiset**: ... of size  $n$  with  $t$  types is a sequence of  $\uparrow$  (tuple) non-negative integers  $m_1, \dots, m_t$  s.t.  $m_1 + \dots + m_t = n$

## BINOMIAL COEFFICIENT

$$\binom{n}{k} = \frac{n!}{k!(n-k)!}$$

$$\sum_{i=0}^n \binom{n}{i} = 2^n$$

$$\binom{n}{t} = \binom{n+t-1}{n}$$

$$\sum_{i=1}^n i = \binom{n+1}{2}$$

## 4 Combinatorial Intuitions

**Inclusion/Exclusion**:



$\binom{n}{t}$  # of ways to choose  $n$  items from  $[t]$  with repetition!

## 5 Power Series (Formal)

$$G(x) = \sum_{n=0}^{\infty} C_n x^n$$

$$G(x) + H(x) = \sum_{n=0}^{\infty} (C_n + D_n) x^n$$

$$G(x)H(x) = \sum_{n=0}^{\infty} \left( \sum_{i=0}^n C_i D_{n-i} \right) x^n$$

NEVER evaluate a power series at a real number.

$[x^n]G(x) \rightarrow$  coefficient on  $x^n$

$$(1+x+x^2+\dots)(1-x) = 1$$

$\frac{1}{1-x}$  is the Geometric Series

**Tips**

- Factor
- Subtract

Find out if  $G(x)$  has inverse

$$\frac{1}{G(x)} = \text{recursive so it looks like...} = \frac{1}{1 - (1 - G(x))} = \sum_{i=0}^{\infty} (1 - G(x))^i$$

If  $[x^0]G(x) = 0$ , then  $G^{-1}(x)$  isn't well-defined

**Generating Function for A**

Weight fn  $w: A \rightarrow \mathbb{N} = \{0, 1, \dots\}$

$$\Phi_A^w(x) = \sum_{a \in A} x^{w(a)}$$

$[x^k]\Phi_A^w(x) = \#$  of objects in  $A$  w/ weight  $k$

**EG**

$$w: \mathbb{N} \rightarrow \mathbb{N}$$

$$w(n) = n$$

$$\Phi_{\mathbb{N}}^w = \frac{1}{1-x}$$

## Binomial Theorem

$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$$

eg:  $[x^{10}] \frac{3x}{7+2x}$

$$= [x^9] \frac{3}{7+2x}$$

$$= [x^9] \frac{3}{7} \cdot \frac{1}{1+\frac{2}{7}x}$$

$$= \frac{3}{7} [x^9] \frac{1}{1-(-\frac{2}{7}x)}$$

$$= \frac{3}{7} [x^9] \sum_{i=0}^{\infty} (-\frac{2}{7}x)^i$$

$$= \frac{3}{7} \cdot (-\frac{2}{7})^9$$

## 6 More Weight Functions

Weight functions give finitely many elements of each weight.

Negative Binomial Theorem

$$(1-x)^{-t} = \sum_{n=0}^{\infty} \binom{n+t-1}{n} x^n$$

## 7 More Generating Series

### Sum Lemma

$$\Phi_{A \cup B}^w(x) = \Phi_A^w(x) + \Phi_B^w(x)$$

IF  $A \cap B = \emptyset$

### Product Lemma

$$\Phi_{A \times B}^w(x) = \Phi_A^w(x) \Phi_B^w(x)$$

### String Lemma

As long as  $0 \notin w_D$ :

$$\Phi_{D^*}^{w_{D^*}}(x) = \sum_{k=0}^{\infty} (\Phi_D^{w_D})^k = \frac{1}{1 - \Phi_D^{w_D}(x)}$$

## 8 Compositions

Composition: finite length tuple of positive integers.

$(2, 8, 10, 1) = \alpha$   $l(\alpha) = 4$   
 $\uparrow$  1st part  
SIZE  $\rightarrow |\alpha| = 21$

empty:  
 $\epsilon$   
 $l(\epsilon) = 0$   
 $|\epsilon| = 0$

Compositions weighted by size: Generating Function

$$\Phi_{\mathbb{N}_{>0}}^{w_{\mathbb{N}_{>0}}}(x) = \frac{1-x}{1-2x}$$

### Eg: Cartesian stuff

Let  $(A, w_A), (B, w_B)$  set weight function

$$\begin{aligned} \Phi_{A \times B}^{w_{A \times B}} &= \sum_{(a,b) \in A \times B} x^{w_{A \times B}(a,b)} \\ &= \sum_{(a,b) \in A \times B} x^{w_A(a) + w_B(b)} \\ &= \Phi_A^{w_A}(x) \Phi_B^{w_B}(x) \end{aligned}$$

### Eg: Dice Rolls

$D = \{1, \dots, 6\}$

$w_D(d) = d$

$$\begin{aligned} \Phi_D^{w_D}(x) &= x + x^2 + x^3 + x^4 + x^5 + x^6 \\ &= x \frac{1-x^6}{1-x} \\ &= x \frac{1-x^6}{1-x} \end{aligned}$$

$$\Phi_{D^3}^{w_{D^3}}(x) = (\Phi_D^{w_D}(x))^3$$

### Eg: Weight-preserving bijection

$$f: S_n \rightarrow \{0, 1\}^n$$

set of subsets of  $\{1, \dots, n\}$  containing 0 or 1

where

$$w_{S_n}(A) = |A|, A \in S_n$$

$$\Phi_{S_n}(x) = \sum_{k=0}^n \binom{n}{k} x^k$$

$$\Phi_{S_n}(x) = (1+x)^n$$

### Eg: Rolling N Dice

$$\begin{aligned} D^0 \cup D^1 \cup D^2 \cup \dots &= D^* \\ &= \Phi_D^{w_D}(x) + \Phi_D^{w_D}(x) + \Phi_D^{w_D}(x) + \dots \\ &= \sum_{k=0}^{\infty} (\Phi_D^{w_D})^k = \frac{1}{1 - \Phi_D^{w_D}(x)} \end{aligned}$$

Restrictions:

$0 \notin w_D$  because then  $w_{D^*}$  would have infinite constant

### Eg: Generating Series

wf.  $w: A \rightarrow \mathbb{N}$

Its generating series:

$$\Phi_A^w = \sum_{a \in A} x^{w(a)}$$

Suppose  $f: A \rightarrow B$  is a bijection that preserves weights. Then:

$$\Phi_A^w(x) = \Phi_B^{w \circ f}(x)$$

### Eg: Algebra

$$\begin{aligned} (3+2x)^7 &= 3^7 (1 + \frac{2}{3}x)^7 \\ &= 3^7 \sum_{k=0}^7 \binom{7}{k} (\frac{2}{3}x)^k \end{aligned}$$

### Eg: Generating Function

Write generating function for all finite-length binary strings with weight equal to length.

Consider length 1.

$$w_B(0) = w_B(1) = 1$$

$$B = \{0, 1\}$$

$$\Phi_B^{w_B}(x) = \sum_{b \in B} x^{w_B(b)} = 2x$$

## 9 Compositions (again)

Combinatorial Interpretation: Write  $n$  1's, then partition. That will be  $n-1$  positions!  $2^{n-1}$  choices

Method: ① Generating series for  $R = \{ \text{restriction} \}$  ② Map to series for  $R^*$ !

$$[x^n] \frac{1-x}{1-2x}?$$

$$\begin{aligned} &= [x^n] (1-x)(1-2x)^{-1} \\ &= [x^n] (1-2x)^{-1} - [x^n] x(1-2x)^{-1} \\ &= 2^n - [x^{n-1}] (1-2x)^{-1} \\ &\quad \text{by geometric series} \\ &= 2^n - 2^{n-1} \\ &= 2^{n-1} \text{ if } n > 0, 1 \text{ otherwise} \end{aligned}$$

Eg: How many compositions of 123 where each part is 1 or 3?

Let  $R = \{1, 3\}$ . Then  $\Phi_R(x) = x + x^3$ . Set of all compositions with parts 1 or 3 is  $R^*$ .

$$\begin{aligned} \text{We want } [x^{123}] \Phi_{R^*}(x) \\ &= [x^{123}] \frac{1}{1-x-x^3} \end{aligned}$$

## 10 Strings

Substr: of  $S = s_1 \dots s_n$  is  $s_i \dots s_j$  with  $1 \leq i \leq j \leq n$

Concatenation: of  $s, t \in \{0, 1\}^*$  is  $st$ .

Concatenation Product: of  $S, T \subseteq \{0, 1\}^*$  is  $ST = \{st : (s, t) \in S \times T\}$

All strings:  $S^* = \epsilon \cup S \cup S^2 \cup \dots = \sum_{k=0}^{\infty} S^k$  ← "concatenation star" only for binary

Block: maximal substring of an individual letter.

Block decompositions: are unique!  $S = \{1\}^* \{0\}^* \{1\}^* \{0\}^* \dots$

Unambiguous: when each result can only be made one way

### ★ for $\Phi_{ST}$ ★

$$\Phi_{ST} = \Phi_S(x) \Phi_T(x)$$

iff there is a bijection between  $S \times T \leftrightarrow ST$

Hockey Stick Identity

$$\binom{a+b}{a} = \sum_{i=0}^b \binom{a+i-1}{a-1}$$

Pascal's Identity

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

### Proof exercise:

The number of compositions of  $n-1$  using only odd parts is the same as # of  $n$  compositions using only parts  $\geq 2$ .

# MATH 239 (Spring 2023) ②

## 11) RegEx, GS, Block Decomposition

RegEx: •  $\epsilon, 0, 1$  are all regexes.  
•  $R^c, RS, R^*, R^+$

Make GS

$\epsilon$  leads to 1  
0 -1- X  
1 -1- X  
 $R \cup S$  -1-  $\phi_R + \phi_S$   
 $RS$  -1-  $\phi_R \phi_S$   
 $R^*$  -1-  $\frac{1}{1 - \phi_R}$   
if  $\bigcup_{i \geq 0} R^i$  is unambiguous

if  $R \cap S = \emptyset$  (unambiguous)  
 $RS \geq R \times S$

Interpret

$\epsilon \rightarrow \{\epsilon\}$   
 $0 \rightarrow \{0\}$   
 $1 \rightarrow \{1\}$   
 $R \cup S \rightarrow R \cup S$   
 $RS \rightarrow RS$   
 $R^* \rightarrow R^*$

EG

$\{0, 1\}^*$  is  $(0 \cup 1)^*$

$(0 \cup 10)^*$  is all binary strings where each 1 is followed by a 0

$(00 \cup 1)^*$  is all binary strings where each 0 block has even length

EG  $0^*$  is unambiguous

$(0 \cup 11)^* \cup (00 \cup 1)^*$  is ambiguous

$1^*(01^*)^*$  is unambiguous

$1^*(0(00)^*11^*)^*(0(00)^* \cup \epsilon)$  is un?

## Solving Word problems



1 Rephrase into positive

2 Write the regex

2.5 Use slices/blocks

3 Get gs, extract  $n^{\text{th}}$  coeff

## 12) Decompositions, Coefficients

## 13) Block Decompositions $\rightarrow$ Generating Series

## 14) Recurrence Relations

## 15) Recursive Decompositions + Forbidden Substrings

Recursive Decomposition: a regex w/ a "variable" on both sides.

$$S = \epsilon \cup (0 \cup 1)S$$

$$\phi_S(x) = 1 + (x+x)\phi_S(x)$$

$$\phi_S(x) - 2x\phi_S(x) = 1$$

$$\phi_S(x) = \frac{1}{1-2x}$$

Substrings

$K$  is a substring of  $\sigma$  if  $\exists \alpha, \beta \in \{0, 1\}^* \text{ s.t. } \alpha K \beta = \sigma$



System of Equations!

## EG Forbidden Substrings

Let  $A$  be the set of strings that don't contain  $K$ .  
Let  $B$  be the set of strings with exactly one  $K$  at the end.

Q Find the generating series of  $A$  and  $B$

A Get two equations that relate  $A$  and  $B$ .

Equation 1: Adding one bit to  $A$  either creates an instance of  $K$  at the end or doesn't. Also,  $A \times B$  are disjoint. So we have:

$$A(0 \cup 1) = A \cup B \rightarrow A(x)(x+x) = A(x)B(x)$$

$$\rightarrow B(x) = (2x-1)A(x)$$

Equation 2:  $AK$  is similar to  $B$ , but  $K$  may overlap with itself, so this relation depends on  $K$ .  
Suppose  $K = 01101$ . Then an overlap is  $(011)(01101)$ , giving:

$$A(01101) = B \cup B(101) \rightarrow A(x)x^5 = (1+x^3)B(x)$$

then just algebra to finish solving the question

EG

$$\frac{1}{1-x-x^2} \rightarrow C_n - C_{n-1} - C_{n-2} = 0$$

EG # of strings of length  $n$  without 111 as a substring?

Standard Decomp:  $S = 0^*(11^*00^*)^*1^*$

change to  $R = 0^*((1 \cup 11)00^*)^*(\epsilon \cup 1 \cup 11)$

★ then compute g.s. ★

## EG Coefficient Extraction

from  $\frac{1+x+x^2}{1-x-x^2-x^3} = F(x)$

$$F(x) = \sum_{n \geq 0} f_n x^n \quad \text{what we're trying to solve for!}$$

$$\Rightarrow 1+x^2+x = (1-x-x^2-x^3) \sum_{n \geq 0} f_n x^n$$

$$= \sum_{n \geq 0} f_n x^n - \sum_{n \geq 0} f_n x^{n+1} - \sum_{n \geq 0} f_n x^{n+2} - \sum_{n \geq 0} f_n x^{n+3}$$

$$= \sum_{n \geq 0} f_n x^n - \sum_{n \geq 1} f_n x^n - \sum_{n \geq 2} f_n x^n - \sum_{n \geq 3} f_n x^n$$

$$1x^0 + 1x^1 + 1x^2 = f_0 x^0 + f_1 x^1 - f_0 x^1 + f_2 x^2 - f_1 x^2 - f_0 x^2 + \sum_{n \geq 3} x^n (f_n - f_{n-1} - f_{n-2} - f_{n-3})$$

$$= f_0 x^0 + (f_1 - f_0)x^1 + (f_2 - f_1 - f_0)x^2 + \text{sum} \leftarrow$$

LHS RHS

$$x^0$$

$$1$$

$$=$$

$$f_0$$

$$\rightarrow$$

$$f_0 = 1$$

$$=$$

$$f_1 - f_0$$

$$\rightarrow$$

$$f_1 = 2$$

$$=$$

$$f_2 - f_1 - f_0$$

$$\rightarrow$$

$$f_2 = 4$$

$$=$$

$$f_n - f_{n-1} - f_{n-2} - f_{n-3}$$

$$=$$

$$f_n = f_{n-1} + f_{n-2} + f_{n-3}$$

$$\rightarrow$$

$$f_n = f_{n-1} + f_{n-2} + f_{n-3}$$

recurrence relations!

## 16) Linear Recurrences & Partial Fractions

To get an exact formula by partial fractions,

Factor The Denominator. So for  $\frac{P(x)}{Q(x)}$ ,

When Does This Work?

1  $P(x)$  doesn't matter

2 Can do if  $Q(x) = (1-x)^k$  NBT

3 Get partial fractions

We want  $Q(x) = \prod (1-r_i x)$ , not  $\prod (x-r_i)$ ...

Reverse coefficients

$$x^5 + x^2 \rightarrow 1 + x^3$$

Solve for the roots

## EG1 Partial Fractions

$$Q(x) = 1 - x - x^2$$

constant term of 1:

reverse coefficients

Done

$$x^2 - x - 1$$

find roots

$$r_1 = \frac{1+\sqrt{5}}{2}$$

$$r_2 = \frac{1-\sqrt{5}}{2}$$

partial fraction decomposition

$$\frac{1}{1-x-x^2} = \frac{A}{1-r_1x} + \frac{B}{1-r_2x} = \frac{A+B-r_1Ax-r_2Bx}{1-x-x^2}$$

$$A+B=1$$

$$-r_1A-r_2B=0$$

$$\frac{5+\sqrt{5}}{10} \cdot \frac{1}{1-r_1x} + \frac{5-\sqrt{5}}{10} \cdot \frac{1}{1-r_2x}$$

By the NBT

$$[x^n] \frac{1}{1-x-x^2} = \frac{5+\sqrt{5}}{10} r_1^n + \frac{5-\sqrt{5}}{10} r_2^n$$

## PARTIAL FRACTIONS

Suppose  $Q(x) = \prod_{i=1}^t (1-r_i x)^{n_i}$ .

Suppose  $\deg P(x) < \deg Q(x)$ .

$$\text{Then } \frac{P(x)}{Q(x)} = \sum_{i=1}^t \sum_{j=1}^{n_i} \frac{A_i^{(j)}}{(1-r_i x)^j}$$

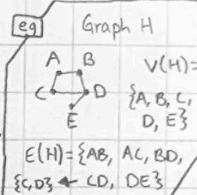
EXAMPLE

$$\frac{1}{(1-x)(1-2x)^2} = \frac{A_1^{(1)}}{1-x} + \frac{A_2^{(1)}}{1-2x} + \frac{A_2^{(2)}}{(1-2x)^2}$$

## Goodbye Comby, Hello Graphy!

### 17 Graph Theory Notation

$V(G)$  is never  $\{\}$



Graph: A set of vertices  $V(G)$  and a set of edges  $E(G)$ .

Adjacent: The edge  $AB$  connects  $A$  and  $B$ , the endpoints. (is incident with) (neighbours)

Isomorphic:  $H$  and  $J$  are isomorphic if  $\exists$  function  $f: V(H) \rightarrow V(J)$  that is bijective that satisfies that if  $b_1, b_2 \in V(H)$ ,  $b_1, b_2 \in E(H)$  iff  $f(b_1)f(b_2) \in E(J)$ . Then  $f$  is an isomorphism.

In this class, any result will hold "up to isomorphism."

Automorphism: an isomorphism of a graph with itself.

Let  $p: V(H) \rightarrow V(H)$

eg  $P(A)=A$   $p$  is a  
 $P(B)=C$  non-trivial  
 $P(C)=B$  automorphism of  
 $P(D)=D$   
 $P(E)=E$   $H$

### 18 New Graphs

Complete Graph  $K_5$

All possible edges.  $|E(K_n)| = \frac{n(n-1)}{2}$

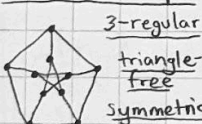
Bipartite Graph: eg

$V(G)$  can be split into  $A$  &  $B$  s.t.  $\forall e \in E(G)$  has 1 endpoint in  $A$  &  $B$ .

Complete Bipartite: eg  $K_{3,2}$

Has vertices  $a_1, \dots, a_m, b_1, \dots, b_n$ , and edges  $a_i b_j$  for  $1 \leq i \leq m, 1 \leq j \leq n$ .  $|E(K_{m,n})| = m \times n$

Petersen Graph



Writing Proofs

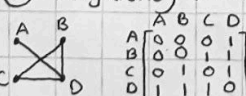
- Name things
- Be specific about your proof technique.

Lemma

If every vertex of a graph is of degree 2, then the graph has a cycle.

How to Specify a Graph

- Define  $V(G)$  and  $E(G)$
- Draw and label it
- Adjacency Matrix



$$A \begin{matrix} & B & C & D \\ \begin{matrix} A \\ B \\ C \\ D \end{matrix} & \begin{pmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix} \end{matrix}$$

$$A_{ii} = 0$$

$$A_{ij} = A_{ji}$$

eg  $A(G) \times \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}$  gives us a vector  $\begin{bmatrix} \deg(v_1) \\ \deg(v_2) \\ \deg(v_3) \\ \deg(v_4) \end{bmatrix}$

GIVE NAMES

### 19 Paths, Cycles

Walk: start/ends on a vertex; it's a string  $V_0, V_0V_1, V_1, V_1V_2, \dots, V_n$ .

Closed Walk: starts/ends on same vertex.

Path: walk with no repeated vertices.

Cycle: a closed walk with at least 3 distinct vertices where all vertices are distinct except the first and last.

Length: # of edges

### Path Lemma

If there is a walk from  $x$  to  $y$ , there is a path from  $x$  to  $y$ .

### 20 Graphs, Subgraphs

Subgraph: Given graph  $G$ , a subgraph  $H$  is a graph s.t.  $V(H) \subseteq V(G)$  and  $E(H) \subseteq E(G)$ .

Clique: Subgraph isomorphic to  $K_n$ .

Stable Set: subgraph isomorphic to empty graph.

Spanning Subgraph:  $V(H) = V(G)$

Hamilton Path: A path containing all vertices

Hamilton Cycle: A cycle containing all vertices

## 21 Connectivity & Components

Connected:  $G$  is connected if there is a path between every pair of vertices.

Component: a maximal connected subgraph.

$G_i = (V(G_i), E(G_i))$  is a component of  $G$  if whenever  $F$  is a subgraph of  $G$  with either  $V(G_i) \subsetneq V(F)$  or  $V(G_i) = V(F)$  and  $E(G_i) \subsetneq E(F)$  then  $F$  is disconnected.

Isolated Vertices: are components.

### Component Decomposition Lemma

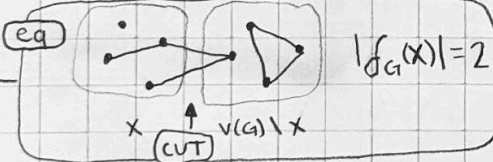
$V(G)$  can be written uniquely in some way  $V(G_1) \cup V(G_2) \cup \dots \cup V(G_k)$  s.t. the subgraph  $G_i = (V(G_i), \text{all edges in } G_i)$  is a component.

- Each vertex is in exactly 1 component.
- $G$  is connected iff it has exactly 1 component.
- No edges exist between distinct components.
- Each edge is in exactly 1 component.

### Cut of $X$ in $G$

Let  $G$  be a graph, and  $X \subseteq V(G)$ .

Cut of  $X$  in  $G$   $\delta_G(X)$  = set of edges with one endpoint in  $X$  and one endpoint in  $V(G) \setminus X$ .



### Connectivity Theorem

$G$  is connected iff  $\forall X \subseteq V(G)$  s.t.  $X \neq \emptyset$  and  $X \neq V(G)$ , the cut of  $X$  in  $G$  is non-empty.

## 22 Bridges

Delete: if  $v \in V(G)$ ,  $G \setminus v = (V \setminus v, E \setminus \delta_G(v))$

if  $e \in E(G)$ ,  $G \setminus e = (V, E \setminus e)$  (than  $G$ )

Bridge: an edge s.t.  $G \setminus e$  has more components.

### Bridge Delete Lemma

Let  $G$  be a graph with  $x, y \in V(G)$ ,  $xy \in E(G)$ . Suppose  $G$  is connected, and  $xy$  is a bridge.

Then  $G \setminus xy$ :

- (a) Has exactly 2 components.
- (b)  $x$  and  $y$  are in diff components.

## 23 More Bridges

### Bridge Cycle Lemma

An edge is a bridge iff it is not in any cycle

Cut Vertex is a vertex  $v$  s.t.  $G \setminus v$  has more components than  $G$ .

### Cut Vertex Lemma

If  $G$  is a connected graph w/at least 3 vertices. Let  $e$  be a bridge of  $G$ . Then at least one endpoint of  $e$  is a cut vertex of  $G$ .

- You can have cut vertices without bridges. eg



Eulerian Circuit closed walk on every edge exactly once

## 24 Eulerian Circuits

Eulerian Circuit: closed walk, on every edge exactly once.

### Eulerian Theorem

A connected graph  $G$  has an Eulerian circuit iff all vertices have even degree.

Forest: Graph where every edge is a bridge.

Tree: a connected forest.

Forest: disjoint union of trees.

## 25 Trees and Forests

For a connected graph  $G$ , the following are equivalent:

- 1  $G$  is a tree.
- 2 Every edge of  $G$  is a bridge.
- 3  $G$  contains no cycles.
- 4 For all  $a, b \in V(G)$ , there is a unique path between  $a$  and  $b$ .
- 5  $|E(G)| = |V(G)| - 1$

For any graph  $G$ , equivalent are:

- 1  $G$  is a forest.
- 2 Every edge of  $G$  is a bridge.
- 3  $G$  contains no cycles.
- 4  $\forall a, b \in V(G)$  where  $C_a$  is a component of  $G$ , there's a unique path from  $a$  to  $b$ .
- 5  $|E(G)| = |V(G)| - (\# \text{ of components})$

## 26 More Trees

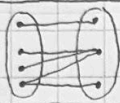
### Tree Span Lemma

A Graph is connected  
IFF it has a spanning tree.

### Bipartite Lemma

Forests are Bipartite.

eg



### ★ Proof Method: Labelling

Eg: Prove any tree is bipartite.

Ans: Let  $T$  be a tree and fix  $x \in V(T)$ . Then for each  $v \in V(T)$  there is a unique path between  $v$  and  $x$ .

Place  $v$  in  $A$  if the path has even length, and in  $B$  if it has odd length.

Exercise: Finish proof by showing  $A$  and  $B$  form a bipartition of  $T$ .

### Jordan Curve Theorem

Every simple closed curve in the plane partitions  $\mathbb{R}^2 \setminus C$  into two disjoint arcwise-connected sets.

↳ inner region:  $\text{int}(C)$   
↳ outer region:  $\text{ext}(C)$

★ Every subgraph of a planar graph is planar. ★

### Face-Shaking Lemma

$$\sum_{F \in \mathcal{F}(G)} \deg(F) = 2|E(G)|$$

Note: prove things by splitting into components!

### Bipartiteness Characterization Theorem

A graph is bipartite iff it has no odd-length cycles.

## 27 Leaves + Planarity!

Leaf: a vertex  $v$  in a tree with  $\deg(v)=1$ .

### Leaf Count Lemma

Let  $T$  be a tree with a vertex of degree  $d$ . Then  $T$  has  $\geq d$  leaves.

Multigraph: has vertices  $V$ , and a multiset of edges where each edge is a pair of edges or a single edge (loop).

Plane:  $\mathbb{R}^2$  (points have 2 real coordinates).

Curve ( $\text{in } \mathbb{R}^2$ ): is the image of a continuous map  $f: [0,1] \rightarrow \mathbb{R}^2$ , where  $\hookrightarrow f(0)$  and  $f(1)$  are endpoints.

Closed Curve ( $\text{in } \mathbb{R}^2$ ): a curve with  $f(0)=f(1)$ :  $\bigcirc$   $\bigodot$

Simple Curve ( $\text{in } \mathbb{R}^2$ ): a non-self-intersecting curve:  $\cup$   $\times$   $\otimes$

Simple Closed Curve: a closed curve that only intersects at its endpoints.

Planar Embedding: of a graph  $G$  consists of:

- ① A point  $x_v \in \mathbb{R}^2$  for every  $v \in V(G)$  s.t.  $x_v \neq x_{v'}$  if  $v \neq v'$ .  $\rightarrow$  a "line",  $C_{uv}$
- ② For each edge  $uv \in E(G)$  with  $u \neq v$ , a simple curve with endpoints  $x_v, x_u$ .
- ③ For each loop at  $v$ , a simple closed curve containing  $x_v$ .
- ④  $\forall e \in E(G), \forall v \in V(G), x_v \in C_e$  iff  $v$  is an endpoint of  $e$ .
- ⑤ For  $e, e' \in E(G)$ ,  $C_e$  and  $C_{e'}$  only intersect at shared endpoints.

Image: of  $G$  w/ respect to a planar embedding is the set of points in  $\mathbb{R}^2$  that are vertices or curves. (choice)

Planar Graph: a graph w/ a planar embedding.

Plane Graph: a planar graph w/ a fixed planar embedding.

Region: a region  $R$  of the plane (often a portion of  $\mathbb{R}^2 \setminus \text{Image}(G)$ ) is Arcwise-Connected if for all  $a, b \in R$  there is a curve in  $R$  w/ endpoints  $a, b$ .

## 28 Spanning Trees + More Planarity

### Span Cycle Lemma

Let  $G$  be a connected graph, let  $T$  be a spanning tree of  $G$ . Let  $e \in E(G), e \notin E(T)$ . Then  $T+e$  has a unique cycle.

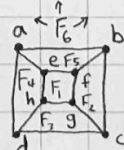
### Further Lemma

Let  $e' \in E(T+e)$  be an edge in the unique cycle of  $T+e$ . Then  $T+e-e'$  is a spanning tree of  $G$ .

### Further Lemma

Let  $f \in E(T)$ . Let  $f'$  be an edge in the cut of  $G$  by a component of  $T-f$ . Then  $T-f+f'$  is a spanning tree of  $G$ .

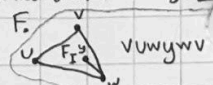
Face of a Plane Graph  $G$  is a maximal arcwise-connected subset of  $\mathbb{R}^2 \setminus \text{Image}(G)$ .



Vertex  $a$  is incident with  $F_5$ .

Edge  $ad$  is incident with  $F_6$ .

Boundary Walk of a face  $F$  of a connected plane graph  $G$  is a closed walk using all edges incident with  $F$ .



$$\deg(\text{Face}) = |\text{boundary walk}|$$

(let  $\mathcal{F}(G)$  be the faces of  $G$ , a plane graph)

## 28 cont'd Planarity

### ★ Facts to Use w/o Proof

- ① Jordan Curve Theorem
- ② Every subgraph of a planar graph is planar
- ③ If  $uv \notin E(G)$  and  $u$  and  $v$  lie on the same face of  $G$ , then  $G+uv$  is a plane graph.
- ④ If  $u$  and  $v$  are in the same component,  $G+uv$  will get another face.
- ⑤ If  $X \subseteq V(G)$  is a set of vertices all on the same face  $F$ , then you can add a vertex  $v$  in  $F$  adjacent to everything in  $X$  and get a plane graph.

## 29 More Planar Graphs

### Euler's Formula

Let  $G$  be a planar graph. Then for any plane embedding of  $G$ :

$$|V(G)| - |E(G)| + |F(G)| = 2$$

### Lemma

Let  $G$  be a connected plane graph that is not a tree. Then for every face  $f$  of  $G$ , its boundary walk contains the edges of a cycle.

$K_5$  and  $K_{3,3}$  are not planar.

### Edge Subdivision

$a \text{---} b \leftrightarrow a \text{---} e \text{---} b$  retains planarity in both directions.

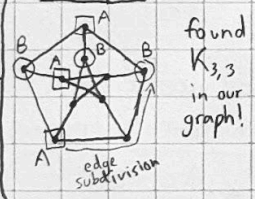
Kuratowski's Theorem A graph is not planar iff  $K_5$  and  $K_{3,3}$  are subdivisions.

## 30 Planarity Proofs + Colouring

### Demonstrating Planarity or Non-Planarity

- ① Give a planar embedding
- ② Find an edge subdivision of  $K_5$  or  $K_{3,3}$

### Example:



Deciding if a graph is colourable for  $3 \leq k \leq n-1$  is in general an NP-complete problem.

k-Colouring: A  $k$ -colouring of a graph  $G$  is an assignment of a colour to each vertex of  $G$  where adjacent vertices have diff colour.

If  $C$  is a set of size  $k$ , then a  $k$ -colouring is a function  $f: V(G) \rightarrow C$  s.t.  $f(u) \neq f(v) \forall uv \in E(G)$ .

k-Colourable: if it has a  $k$ -colouring.

### Extreme Cases

- Graph with no edges is 1-colourable
- (7.7.2) Bipartite graph  $\leftrightarrow$  2-colourable
- (7.7.3)  $K_n$  is  $n$ -colourable and isn't  $k$ -colourable for  $k < n$ .

### Corollary 7.5.5

Every planar graph has a vertex of degree at most 5

## 31 Planar Colourings

### 6-Colour Theorem

Every Planar graph is 6-colourable.

### 5-Colour Theorem

Every Planar graph is 5-colourable.

### 4-Colour Theorem

Every Planar graph is 4-colourable.

## 32 Colouring, Matching

Contraction:  $G/e$  removes  $e$  and squishes its vertices together, preserving all edges (and planarity).  $G/e$  isn't necessarily a simple graph.

Matching: of a graph  $G$  is a set of edges in  $E(G)$  where no two edges share a vertex.

Saturated:  $v$  in a matching  $M$  is saturated by  $M$  if  $v$  is incident with an edge in  $M$ .

Perfect Matching: saturates all  $v \in V(G)$

Maximum Matching: no matching exists w/more.

## 33 More Matching

Alternating Path: w/respect to  $M$  is a path s.t.  $e_i \in M$  iff  $e_{i+1} \notin M$ .

Augmenting Path: w/respect to  $M$  is an  $M$ -alternating path that starts/ends with non- $M$ -edge, and first/last vertices not saturated.

Cover: of  $G$  is  $S \subseteq V(G)$  s.t. every edge has a  $v \in S$  endpoint.

### König's Theorem

In a bipartite graph  $G$ , the size of a maximum matching is equal to the size of a minimum vertex cover.

### LEMMA

In a bipartite graph, matching  $M$  having no augmenting path implies it's maximal

### LEMMA

If  $S$  is a cover &  $M$  is a matching, then  $|M| = |S| \rightarrow M$  is maximal  $\rightarrow S$  is minimal

### LEMMA

If  $S$  is a cover &  $M$  is a matching,  $|M| \leq |S|$



a sugar glider



and a red panda



and some happy dogs on a couch



they're happy because they don't have to write a CO final 📄